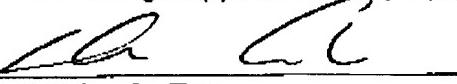
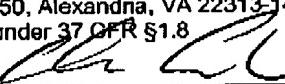


<b>TRANSMISSION OF INFORMATION DISCLOSURE STATEMENT</b> Under 37 CFR 1.97(b), (c) or (d)				Docket No. 6089/CALB/ECP/PJS
In re Application of: <b>Kholodenko</b>				
Serial No. <b>09/905,513</b>	Filing Date <b>July 13, 2001</b>	Examiner <b>Nicolas, Wesley</b>	Group Art Unit <b>1742</b>	
Title: <b>METHOD AND APPARATUS FOR ENCAPSULATION OF AN EDGE OF A SUBSTRATE DURING AN ELECTRO-CHEMICAL DEPOSITION PROCESS</b>				
Address to: Commissioner for Patents Alexandria, VA 22313-1450				
<b>37 CFR 1.97(b)</b>				
<p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p>				
<b>37 CFR 1.97(c)</b>				
<p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.</p>				
<b>37 CFR 1.97(d)</b>				
<p>3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.</p>				
<b>Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)</b>				
<p><input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))</p>				
<p><input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))</p>				
<p><input checked="" type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.</p>				
<b>37 CFR §1.704(d)</b>				
<p>4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.</p>				
 Dated: <u>May 17, 2004</u>				
<b>Certificate of Transmission</b>				
<p>I certify this document is being transmitted on <u>May 17, 2004</u> by facsimile to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile no. 703-872-9306, under 37 CFR §1.8</p>				
 <b>Signature of Person Mailing Correspondence</b>				
<b>Keith P. Taboada</b>				
<b>Typed or Printed Name of Person Mailing Correspondence</b>				

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Application Number	09/905,513
Filing Date	July 13, 2001
First Named Inventor	Kholodenko
Group Art Unit	1742
Examiner Name	Nicolas, Wesley
Attorney Docket Number	6089/CALB/ECP/PJS
Submission Date	May 17, 2004

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A1		US-6,381,166	05-21-2002	Wang	
A2		US-6,248,222	06-19-2001	Wang	
A3		US-			
A4		US-			
A5		US-			
A6		US-			
A7		US-			
A8		US-			
A9		US-			
A10		US-			
A11		US-			
A12		US-			
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A15		US-			
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A17		US-			
A18		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
B1						
B2						
B3						
B4						
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Examiner

Date Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-767-9199) and select option 2.